TABLE OF CONTENTS

1. OBJECTIVE
2. KEY BENEFITS
3. PROGRAM CONTENT AND OWNERSHIP
4. OVERVIEW OF THE BACKGROUND SCREENING CONSORTIUM PROCESS
5. PROGRAM APPLICATION
6. CONSENT FOR BACKGROUND CHECKS AND RELEASE OF INFORMATION
7. BACKGROUND SCREEN REPORTS AND GRADES
8. ELIGIBILITY FOR ACCESS TO OWNER FACILITIES
9. WAIVERS AND EXEMPTIONS
10. CONFIDENTIALITY
11. TRAINING
12. PROGRAM AUDIT

APPENDIX A: DEFINITIONS OF KEY TERMS
APPENDIX B: SAMPLE BACKGROUND SCREEN CONSENT FORM
APPENDIX C: BACKGROUND SCREENING SEARCH AND GRADING CRITERIA
1.0 OBJECTIVE

Participating industrial facility Owners recognize the benefits of Contractor Employee background screening in identifying individuals who may pose a risk to Owner financial assets and the people working at Owner facilities through behavior that may cause serious safety incidents, workplace violence, domestic terrorist acts, and theft of materials, equipment or technology.

DISA has created a standardized background screening program to promote workplace safety and to minimize the burden to contractors of complying with multiple background screening requirements. This program is known as the DISA Background Screening Consortium (herein referred to as “the Program”). The target population for the Program includes Contractor Employees providing maintenance, construction and operational support services at petrochemical facilities such as chemical plants and refineries, energy production and utility facilities, and other industrial manufacturers. However, Owners shall define the target workforce at their facilities. This program does not address foreign workers.

A fair and objective standardized Contractor background screening process that includes identity verification, criminal background review and terrorist watch list screen will promote a safe and secure working environment for both Owner and Contractor personnel, and minimize incidents that may affect the surrounding community.

2.0 KEY BENEFITS

The Program will yield the following benefits:

- Establishes standardized background screen criteria; Contractor Employers do not have to comply with multiple Owner requirements
- Includes multiple professional background screening Consortium Third Party Administrators (Consortium TPAs) to perform the background checks, giving Contractor Employers a choice, providing competition and controlling costs
- Allows participating Owners flexibility to determine which contract companies will be included in the Program
- Graded security levels allows participating Owners flexibility to establish their own site access requirements
- Provides Owners and Contractor Employers with ready access to a Contractor Employee's background screen security status (Active or Inactive), for each participating Owner via DISA’s online management system, DISAWorks®
- A Contractor Employee's background screen grade will be portable if they change employers or begin working at another Owner facility, reducing repetitious background screening and related costs
- The Program can be easily audited to ensure compliance
- A decreased number of safety and security related incidents

3.0 PROGRAM CONTENT AND OWNERSHIP

The requirements of the Program are developed and controlled by the DISA Background Screening Consortium. All affected participants in the Program must comply with applicable
requirements of Fair Credit Reporting Act (FCRA) and other governing state and federal laws.

DISA Global Solutions, Inc. (herein referred to as “DISA”) is the Program Custodian. The Program Custodian is responsible for implementing the Program in accordance with the Program requirements and maintaining an online management system to determine a Contractor Employee's background screen status (Active or Inactive).

DISA may modify the Program at any time. Participating Owners and Contractor Employers shall be notified of any modification before implementation.

4.0 OVERVIEW OF THE BACKGROUND SCREENING CONSORTIUM PROCESS
An overview of the information flow and significant elements of the Program is provided in Appendix E: Overview of Background Screening Consortium Process.

5.0 PROGRAM APPLICATION
Industrial facility Owners will voluntarily decide if their site(s) will participate in the Program. Owners that choose to participate in the Program accept the validity of the background screens developed under the Program.

Participating Owners will determine which contract companies (including subcontractors) will be included in the Program, and establish their own site background screening security requirements (maximum background screen grade). Participating Owners will communicate their decision to participate in the Program to affected Contractor Employers.

Contractor Employers shall authorize one or more of their employees or agents to interface with DISA on their behalf to obtain background screen consent forms from Contractor Employees, schedule background screens, assist in the Pre-Adverse and Adverse Action process, and monitor Contractor Employee background screen expiration dates.

DISA shall determine its own pricing structure that includes all costs associated with performing background screens under the Program.

It is the responsibility of the Contractor Employer to ensure that a background screen is performed on all Contractor Employees considered for assignment to participating Owner facilities. A Contractor Employer must not assign a Contractor Employee to an Owner's facility if the individual has an Inactive status for that Owner's site.

The Contractor Employer and DISA have full responsibility for compliance with all applicable legal requirements regarding privacy rights related to obtaining background screens under the Program and must comply with all legal requirements that may apply in the jurisdictions in which the Owner facilities are located, the background checks are performed and the residence of the applicant. To the extent there is any conflict between the requirements described in the Program and applicable laws and regulations, the latter controls.

The Contractor Employer has full responsibility for compliance with all legal requirements regarding authorization to work, visas, work permits or any related documentation in all jurisdictions in which Contractor Employees are assigned to work.
Owners shall not direct or in any manner be involved in hiring decisions Contractor Employers may make regarding a screened Contractor Employee. The background screen status (Active or Inactive) shall only be used by the Owner to determine a Contractor Employee's eligibility for access to the Owner's site. All hiring and assignment decisions are the sole responsibility of the Contractor Employer. If a Contractor Employer uses background screen information from the Program to determine an individual's employment eligibility, the Contractor Employer must follow all applicable FCRA and state requirements.

Contractor Employers shall hold the Owners harmless regarding any litigation that might arise from any hiring or assignment decision made by the Contractor Employer regarding a background screening report.

If legal requirements and customary practices in non-United States jurisdictions limit the implementation of the Program by a Contractor Employer, the Contractor Employer shall work with the affected Owner to identify reasonable alternatives to accomplish the intent of the Program. Any alternative methods agreed to by an Owner and Contractor Employer are not a part of the Program unless approved by DISA and incorporated into the Program.

6.0 CONSENT FOR BACKGROUND CHECKS AND RELEASE OF INFORMATION
Contractor Employees must consent, in writing, to allow a background screen to be performed by DISA under the Program and allow the release of the information. A sample of the required consent form is included in Appendix B: DISA Background Screening Consent Form.

7.0 BACKGROUND SCREEN REPORTS AND GRADES
If DISA utilizes the services of background screen investigation firms, subcontractors or other third parties to perform background screens for the Program, DISA will verify their qualification and periodically audit their compliance with the Program requirements.

Prior to performing background screens under the Program, DISA will verify that the Contractor Employer requesting background screens has a permissible purpose under the FCRA for obtaining the information. DISA will obtain appropriate written consent from the Contractor Employee before the background screen is performed.

Background screens shall be performed using the best available commercial and national/ state resources, databases and methods, and the grading criteria identified in Appendix C: Background Screening Search and Grading Criteria. The list of criminal convictions in Appendix C was specifically designed to identify those persons with the potential to endanger people and assets.

Each background screen completed under the Program shall result in the assignment of a background screen grade for the Contractor Employee as documented in Appendix C. If a Contractor Employee refuses a background screen under the Program, no background screen will be performed and no grade will be established.

DISA shall be responsible for the delivery of accurate background screen reports performed under the Program and the resulting background screen grade, to the extent the information obtained from the resources identified in Appendix C is correct.
Access to background screen information will be limited as follows:

<table>
<thead>
<tr>
<th>Contractor Employer who Ordered the Background Screen (Contractor Employer is Participating in Program)</th>
<th>Background Screen Report Details</th>
<th>Background Screen Grade</th>
<th>Background Screen Status (Active or Inactive) for an Owner’s Site</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contractor Employers not Participating in the Program</td>
<td>No*</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Subject Contractor Employee</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Owners Participating in the Program</td>
<td>No *</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Owners not Participating in the Program</td>
<td>No *</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Reciprocal Safety Councils Participating in the Program</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Safety Councils not Participating in the Program</td>
<td>No</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

* May be allowed access to background screen report details only with written authorization by the subject Contractor Employee

Contractor Employers have an ongoing duty to advise Contractor Employees to immediately inform the Contractor Employer of any conviction record or other “hits” (as identified in the first paragraph of Appendix "C") arising after the establishment of the Contractor Employee’s background screen grade in the Program. If the Contractor Employer becomes aware of any conviction, and the conviction would result in a background screen grade that is excluded by the Owner where the Contractor Employee is currently working, it must inform any affected Owners in writing, order a new background screen on the Contractor Employee and take appropriate actions based on the new background screen grade. A participating Owner may restrict the Contractor Employee’s access to its facility while the new background screen is being performed, unless the Owner agrees to continuation of the assignment pending results of the new background screen.

If a conviction has been removed from a Contractor Employee's record after a background screen has been completed under the Program, or if a conviction is no longer within the relevant time frames established in Appendix C, the Contractor Employee may request his/her Contractor Employer to have DISA perform a new background screen to update his/her background screen grade in the Program. The decision to order a new background screen is at the discretion of the Contractor Employer.
8.0 **ELIGIBILITY FOR ACCESS TO OWNER FACILITIES**

Each participating Owner shall determine their background screen security level for the Program. The background screen security level selected by an Owner shall designate the maximum background screen grade that will be allowed for a Contractor Employee to be eligible for access to the Owner's site. DISAWorks will use this information to determine Contractor Employee status.

When a participating Owner, Contractor Employer or reciprocal Safety Council uses DISAWorks to determine if a Contractor Employee meets an Owner's background screen security requirements, the system will compare the Owner's requirements to the background screen grade provided by DISA and classify the Contractor Employee with either an **Active** or **Inactive** status for that Owner's site.

- Any Contractor Employee who does not have a current background screen grade in the Program or has a background screen grade that has expired will be classified as Inactive for all participating Owner sites;
- Any Contractor Employee who does not meet a particular Owner's background screen security requirements will be classified as Inactive for that Owner's site;
- Any Contractor Employee who meets a particular Owner's background screen security requirements will be classified as Active for that Owner's site.

Owners may deny access to their property to any Contractor Employee whose background screen grade does not meet the Owner's background screen security requirements (Inactive status) and to Contractor Employees who refuse to comply with the requirements of the Program. A Contractor Employee with a background screen grade that meets a participating Owner's background screen security requirements (Active status) is eligible for access to a participating Owner's property. However, any Owner reserves the right to allow or deny access without regard to background screening eligibility.

For example, if an Owner selects a background screen grade of "03" (Lesser Felonies) as its maximum background screen grade, then Contractor Employees with background screen grades of "04" through "07" WOULD NOT meet that Owner's background screen security requirements and therefore would have an Inactive status for that Owner's site. Conversely, Contractor Employees with background screen grades of "00" through "03" WOULD meet that Owner's background screen security requirements and would have an Active status for that Owner's site. (This example is for illustration purposes only and is not intended to reflect any Owner's actual requirements.)

It is the Contractor Employer’s responsibility to not assign a Contractor Employee with a background screen grade above an Owner's background screen security level to work at the Owner's site. Participating Contractor Employers shall not knowingly use the services of any individual on Owner's premises who does not meet the Owner's site background screen security requirements without written approval from the Owner (see Section 9 "Waivers and Exemptions").

If a Contractor Employee who does not meet an Owner's background screen security requirements arrives at that Owner's site, the Owner will inform the Contractor Employee to contact his/her Contractor Employer for an explanation.
9.0 **WAIVERS AND EXEMPTIONS**

If business needs warrant, a Contractor Employer may petition an Owner for a waiver or exemption for a Contractor Employee who is not active in the Program or did not receive a background screen grade that meets the Owner's security requirements. The Owner may, at its sole discretion, grant waivers or exemptions to Contractor Employers and/or all or part of its Contractor Employees from the requirements of the Program. Each Owner shall maintain its own background screen waiver or exemption criteria and process.

Waivers or exemptions shall be executed at the discretion of the Owner on a case-by-case basis and will have no impact on the background screen grade developed DISA. DISA shall not alter or amend a background screen report or resulting background screen grade developed under the Program based on a waiver or exemption provided by any Owner.

Contractor Employers shall not construe an exemption or waiver as a promise of any future exemptions or waivers. An Owner may revoke any waiver or exemption granted to a Contractor Employer and/or its Contractor Employees at any time.

10.0 **CONFIDENTIALITY**

DISA shall maintain the confidentiality of all Contractor Employee background screen results, except as authorized by a Contractor Employee's consent, or as required by law. No background screen report details or grade shall be sent to participating Owners or participating reciprocal Safety Councils. The Contractor Employee’s background screen report and grade shall not be available for public viewing.

11.0 **TRAINING**

Each participating Contractor Employer shall advise all Contractor Employees, in writing, of their rights and responsibilities under the Program.

Designated Employer Representatives (DER's) authorized by the Contractor Employer must receive training from DISA on the Program requirements and DISA's business practices prior to administering any background screens.

12.0 **PROGRAM AUDIT**

DISA must maintain all records related to background screen performed under the Program as long as they are participating in the Program. Contractor Employers must follow applicable state laws regarding retention of records.

DISA shall ensure appropriate corrective actions are taken in a timely manner on any deficiencies discovered in an audit.

Any Owner participating in the Program may audit a Contractor Employer's records at any time.
APPENDIX A

DEFINITIONS OF KEY TERMS

DISA BACKGROUND SCREENING CONSORTIUM -- a program established by participating Owners and Owner User Groups and used by participating Owners, Contractor Employers, and Contractor Employees to protect the Owners assets and the people working at the Owner's facilities (herein after referred to as the Program).

OWNER -- owner of an industrial facility who utilizes the services of Contractors and chooses to participate in the Program and accepts the Program screen as a valid background screen.

CONTRACTOR EMPLOYER -- a Contractor company that assigns Contractor Employees to work at participating Owner facilities.

CONTRACTOR EMPLOYEE -- an employee of a participating Contractor Employer who has consented to allow the backgrounds screens provided by the Program as a condition of access to participating Owner facilities.

PROGRAM CUSTODIAN – DISA Global Solutions, Inc. is the Program Custodian and is responsible for implementing the Program in accordance with the Program requirements, maintaining an online management system, and auditing the Program to assure compliance and effectiveness.

PRE-ADVERSE ACTION -- preliminary notice given to an applicant indicating information contained in his/her background screening report, if accurate, may restrict their eligibility for access to some participating Owner facilities or may cause employment to be denied.

ADVERSE ACTION -- final notice, after issuance of Pre-Adverse Action letter, given to applicant notifying them that their eligibility for access to some participating Owner facilities may be restricted, or they are no longer being considered for employment for the position in question.

DESIGNATED EMPLOYER REPRESENTATIVE (DER) -- Contractor Employee(s) authorized by the Contractor Employer to interface with DISA on the Contractor Employer's behalf to obtain background screen consent forms from Contractor Employees, schedule background screens, assist in the Pre-Adverse and Adverse Action process, and monitor Contractor Employee background screen expiration dates.

BACKGROUND SCREEN INVESTIGATORS -- a firm, subcontractor or other third party qualified and authorized by a DISA to conduct background screens per the criteria set forth by the Program.
APPENDIX B

SAMPLE DISA BACKGROUND SCREENING CONSENT FORM

NOTICE AND ACKNOWLEDGEMENT FOR BACKGROUND INVESTIGATION

IMPORTANT — PLEASE READ CAREFULLY BEFORE SIGNING ACKNOWLEDGMENT

Your employer may obtain information about you from a consumer reporting agency for employment or other permissible purposes. Thus, you may be the subject of a consumer report or an investigative consumer report which may include information about your character, general reputation, personal characteristics, and/or mode of living. These reports may include employment history and reference checks, motor vehicle history (driving records), and offender status, Social Security verification/trace, national credit database searches, and Tenancy/Warrant Information. These reports may be obtained at any time, except of your unauthorized and, if you are hired, throughout your employment.

These reports will be provided to the employer of record. Information in these reports may result in restricting your access to some Owner’s facilities who are participating in the North American Background Screening Consortium (NABSC) or the DISA Contractor Consortium. For more information on the NABSC Program, refer to the NABSC program description.

The information in the consumer reports will be used to generate a background screen grade. Each Owner participating will provide the minimum background screen grade that will be offered for Contractor Employees to be eligible for access to that Owner’s site. DISA, Inc., or the NABSC Program Lookup Application will compare the Owner’s requirements to the background screen grade provided by DISA, Inc. to identify whether an Active or Inactive status is required for that Owner’s site.

If you do not meet a particular Owner’s background screen security requirements, you will be denied access for that Owner’s site.

If you meet a particular Owner’s background screen security requirements, you will be cleared to access that Owner’s site.

If you have a ‘Special Active’ status for that Owner’s site, you will be eligible for access to that Owner’s site, if any Owner reserves the right to allow/deny access without regard to background screening eligibility.

You have the right, upon written request made within a reasonable time after receipt of this notice, to request disclosure of the nature and scope of any investigatory consumer report. Please be advised that the nature and scope of the consumer report may be obtained through an investigation into your employment history, conducted by DISA, Inc. (12600 Northborough Drive, Houston, TX 77060) at your request or request by another outside organization. The scope, content, and purpose of the investigation into your employment history, conducted by DISA, Inc. (12600 Northborough Drive, Houston, TX 77060) at your request or request by another outside organization, will be determined by the Owner participating in the NABSC Program and DISA. The Owner participating in the NABSC Program and DISA will disclose the same information to other Owner participating in the NABSC Program.

ACKNOWLEDGMENT AND AUTHORIZATION

I acknowledge receipt of the SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT and certify that I have read and understand both of these documents. I hereby authorize DISA, Inc. to obtain a consumer report or any investigative consumer report at any time after receipt of this authorization and, for a period of five years following the date of the background screen, I authorize DISA, Inc. to obtain a consumer report or any investigative consumer report at any time after receipt of this authorization and, for a period of five years following the date of the background screen.

Please Note: This form does not place a background order.

Applicant Name: Renela Taylor
Applicant SSN: 123-45-0789
Witness:
Location: (LMI Companies) DISA B6

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Witness:</td>
<td>Date:</td>
</tr>
</tbody>
</table>

Return to forms@disa.com, www.disa.com, (Fax) 713-972-3451.
APPENDIX C

BACKGROUND SCREENING SEARCH AND GRADING CRITERIA

Owner requirements may vary regarding products, background screen frequency, and jurisdictions. To obtain specific search criteria, Contractor Employer should confirm with Owner and/or DISA.

The background screen for Contractor Employees may include a criminal record check of federal, state and county/parish (or equivalent) records, as allowed by law, for both misdemeanors and felonies where the disposition is a:

- Conviction
- Pending or adjudication withheld
- Deferred adjudication
- No contest plea or any other disposition (such as probation) where an individual must complete some criteria before a final disposition is determined
- Open warrant

Individual owner requirements will determine the number of years and jurisdictions reviewed. Jurisdictions that may be required include: where the individual has lived and worked based upon the disposition date and any jurisdictions found while searching national/state databases and other sources.

Background screens shall be performed using the best available commercial and national/state resources, databases and methods. DISA must perform due diligence to verify the Contractor Employee's identity (using available key identifiers such as name, SSN, date of birth, etc.) associated with any criminal history discovered during the background screening process before reporting a background screen grade for the Program.

A new background screen must be ordered by the current Contractor Employer prior to the expiration of the background screen. Background screen frequency shall be determined by individual Owner requirements.

Each background screen search may include the following products:

1. COLLECTION OF INFORMATION FROM CONTRACTOR EMPLOYEES REGARDING PLACES OF RESIDENCE AND EMPLOYMENT

   DISA shall obtain employment and residence data from the Contractor Employee’s background screen application for the number of years specified by Owner. DISA shall verify relevant places of residence from the Contractor Employee’s background screening application, and the Social Security Number Trace and Motor Vehicle History. All three may be used in conjunction to determine past resident history. In the case of military personnel who have served overseas, the military person’s U.S. permanent duty station(s) shall be used.

   An Owner has the option to designate that certain Contractor Employers will need to adhere to more stringent background check requirements when performing "Security Sensitive Services." For those designated Contractor Employers, the following shall apply: DISA shall verify and identify relevant places of residence and employment from the Contractor Employee’s
background screen application, and the Social Security Number Trace and Motor Vehicle History (if available). All three may be used in conjunction to determine past employment and resident history. In the case of military personnel who have served overseas, the military person’s U.S. permanent duty station(s) shall be used.

2. **SOCIAL SECURITY NUMBER (SSN) TRACE**

Prior to initiating any criminal background check, a Social Security Number (SSN) trace shall be made by DISA to confirm the Contractor Employee’s identity (using available key identifiers such as legal name, maiden name, AKA name and SSN) and to determine relevant places of residence for the period of time specified by the Owner.

The SSN trace report is derived from credit bureau records. The sources include, but are not limited to, applications for utilities, credit checks for loans and credit cards, and qualification for rental agreements. DISA shall include within its search the above listed data along with capabilities to search AKA/Maiden Names, alerts for fraudulent patterns relating to the SSN, and verify the SSN is valid in accordance with time and state of issuance.

If the SSN cannot be verified, DISA shall notify the Contractor Employer who ordered the background screen to assist in resolving the issue. If the SSN still cannot be verified, the background screening process stops and no background screen grade shall be reported.

3. **DEPARTMENT OF MOTOR VEHICLE RECORDS/STATE IDENTIFICATION SEARCH**

If a valid SSN verification is made, then a check of the State Department of Motor Vehicle Records (DMV) shall be made to check for a valid state identification and to examine the Contractor Employee’s driving record. If available, the driving record is used to corroborate address information, and obtain certain criminal convictions. If DISA is unable to verify state identification, a Pre-Adverse Action Letter shall be issued to the Contractor Employee and Contractor Employer. The background screening process stops until resolution of the state identification issue. If the state identification issue is not resolved, the Consortium TPA shall notify the Contractor Employer and no background screen grade shall be reported.

4. **CRIMINAL HISTORY SEARCH (COUNTY or PARISH LEVEL / STATE LEVEL / FEDERAL DISTRICT LEVEL)**

DISA may complete a Criminal History Search in all counties, states (if available), and federal jurisdictions derived from the resident history and any employment jurisdiction given by the Contractor Employee as allowable by law. DISA shall utilize field investigators, and/or the most up-to-date data source available to provide a complete background screen that is current in accordance with the FCRA and state law.

5. **NATIONAL CRIMINAL DATABASE SEARCH**

The National Criminal Database Search is a supplemental search to capture possible records that may exist within current states of residence, or records that are existent in jurisdictions outside current states of residence. Records should derive from not only county and state repositories, but shall also include a search of records readily available from each state’s:
6. PATRIOT ACT / TERRORIST WATCH LIST SEARCH

This search covers information from numerous publicly available federal agencies. These agencies compile the names of persons who are wanted by federal law enforcement, barred from the United States, illegal immigrants, specially designated nationals, terrorists, narcotics traffickers, blocked persons, etc. by checking the following sources:

- Denied Persons List
- Fugitive List
- Office of Foreign Assets Control (OFAC)
- Terrorist Watch List

RELEVANCE OF CRIMINAL DISPOSITIONS

All criminal dispositions discovered during the background screening process, based upon the disposition date, shall be considered relevant for DISA to develop and report the background screen grade under the Program, unless prohibited by law.

In addition, any criminal dispositions related to a prison release date that occurred within the time period in which the search is conducted shall be considered relevant for developing and reporting the background screen grade, as allowed by law, without regard to the criminal conviction disposition date. For example, if a criminal conviction with a disposition date outside of the time period in which the search is conducted resulted in a prison sentence, the conviction would still be relevant for developing a background screen grade under the Program if the prison release date was within the search timeframe.

BACKGROUND SCREEN GRADE DESIGNATION

For each background screen completed under the Program, DISA shall identify the type(s) of convictions or other “hits” (as identified in the first paragraph of Appendix "C") found during the relevant time frame and designate a background screen grade related to these "hits". The background screen grade shall indicate that conviction(s) or “hit(s)” were not found (grade 00) or were found (grades 01 through 07) as defined below.

A background screen may find "hits" in more than one grade level. The highest background screen grade defined shall be the designated grade. For example, if a lesser felony (03) and a higher felony (05) were both found, the grade would be 05. In another case, if a violent misdemeanor (02) and a higher felony (05) were both found, the grade for the screen would be 06 because that is the grade if both of these conviction types are found in the same background screen.

Initial background screen grades of 01 through 07 shall be considered "provisional". See "Pre-Adverse and Adverse Action Communications and Provisional Background Screen Grades" paragraph below.
Background Screen Grade Definitions

<table>
<thead>
<tr>
<th>Grade</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>00</td>
<td>Clear Record, nothing found.</td>
</tr>
<tr>
<td>01</td>
<td>One or more “non-violent misdemeanors” were found. This includes any misdemeanor not shown on the list of violent misdemeanors (02) below with the exception of minor traffic violations. This exception does not apply to driving while intoxicated or driving under the influence violations.</td>
</tr>
<tr>
<td>02</td>
<td>One or more “violent misdemeanors” were found. These misdemeanors are any type shown on the list below.</td>
</tr>
<tr>
<td>03</td>
<td>One or more “lesser felonies” were found. This includes any felony not shown on the list of higher felonies (05) below.</td>
</tr>
<tr>
<td>04</td>
<td>One or more “violent misdemeanors” and one or more “lesser felonies” were found.</td>
</tr>
<tr>
<td>05</td>
<td>One or more “higher felonies” were found. These felonies are any type shown on the list below.</td>
</tr>
<tr>
<td>06</td>
<td>One or more “higher felonies” and one or more “violent misdemeanors” were found.</td>
</tr>
<tr>
<td>07</td>
<td>The person was found to be on the current Patriot Act List (specially designated nationals, terrorists, narcotics traffickers, blocked persons, etc.).</td>
</tr>
</tbody>
</table>

Violent Misdemeanor Crimes (02)
The following list represents a selected list of misdemeanor types to be used for the purposes of assigning a numeric “graded” value.

- Assault
- Battery
- Bodily Injury
- Cruelty to a Juvenile
- Deadly Conduct
- Disorderly Conduct
- Disorderly Person
- Escape
- Harassment
- Resisting arrest
- Resisting Public Officer
- Robbery
- Terrorist Threats
- Throwing a deadly missile
- Violation of restraining order
- Weapon

Higher Felony Crimes (05)
The following list represents a selected list of felony types to be used for the purposes of assigning a numeric “graded” value.

- Transportation security incident (i.e. Security incident involving a significant loss of life, environmental damage, transportation system disruption, or economic disruption
- Aiding others in terrorism
- Aircraft piracy outside the special aircraft jurisdiction of the United States, 49 U.S.C. 46502*
- Arson
- Assault (including aggravated and or sexual)
- Battery
- Bribery
- Burglary (breaking and entering)
- Carjacking
- Carrying a weapon or explosive aboard aircraft, 49 U.S.C. 46505*
- Commission of certain crimes aboard aircraft in flight, 49 U.S.C. 46506*
- Computer crimes (hacking, fraud, damage to property)
- Conveying false information and threats, 49 U.S.C. 46507*
- Credit card fraud
- Criminal anarchy
- Denied or removed under the Immigration and Nationality Act (8 U.S.C. 1182 Et Seq)*
- Kidnapping
- Lighting violations involving transporting controlled substances, 49 U.S.C. 46315*
• Misprision of treason
• Monetary instrument abuse
• Perjury and false swearing
• Purse snatching
• Racketeering and RICO violations
• Rape
• Robbery
• Sedition
• Sex crimes
• Smuggling
• Tax evasion
• Destruction of an aircraft or aircraft facility, 18 U.S.C. 32*
• Drug offenses
• Embezzlement
• Espionage
• Explosive offenses (devices, fake explosive devices, incendiary devices, dangerous articles, importation, manufacture, distribution, storage)
• Extortion
• False imprisonment - armed or hostage taking
• False personating (peace office, public official)
• Forgery and counterfeiting
• Fraud (including dishonesty and misrepresentation)
• Homicide / murder / manslaughter / including solicitation to murder (excluding negligent manslaughter)
• Identity theft
• Immigration violations
• Improper transportation of a hazardous material, 49 U.S.C. 46312*
• Interference with air navigation, 49 U.S.C. 46308*
• Interference with flight crew members or flight attendants, 49 U.S.C. 46504*
• Terrorism
• Theft-larceny (including felony shoplifting)
• Treason
• Unlawful entry into an aircraft or airport area that serves air carriers or foreign air carriers contrary to established security requirements, 49 U.S.C.46314*
• Weapons (any offense-carrying a concealed weapon, weapon on premises, illegal use, manufacture, store, sell, distribute, discharge, etc.)
• Traffic offenses i.e., traffic homicide, hit and run (excluding DWI/DUI convictions

*United States Code is offered as a reference to the nature of the crime. Similar state laws are also considered disqualifying.

PRE-ADVERSE AND ADVERSE ACTION COMMUNICATIONS AND PROVISIONAL BACKGROUND SCREEN GRADES

Any initial background screen grade between "01" and "07" (any grade other than "00") shall initially be identified as a "provisional" grade. (The provisional grade is considered a valid background screen grade in the Program and can be used by the Contractor Employer for placement of Contractor Employees at participating Owner sites that accept the assigned background screen grade. Completion of the Pre-Adverse Action process may result in a final background screen grade that is lower than the provisional background screen grade.)

When a provisional background screen grade is identified, DISA shall issue a Pre-Adverse Action Letter to the Contractor Employee and Contractor Employer who ordered the background screen, along with a copy of the background screen report and grade, and a copy of the Consumer Notification Rights, informing the individual of their right to dispute the background screen report and notifying the individual that the provisional background screen grade may restrict their eligibility for access to some participating Owner facilities.

If the Contractor Employee does not dispute the results of the background screen search within five (5) business days after receipt of the results, DISA shall remove the provisional status from the background screen grade. This grade shall be considered the final background screen grade.
If the Contractor Employee finds a discrepancy in the initial background screen report, he/she shall inform DISA for dispute resolution. Disputes of criminal history findings shall be reinvestigated by DISA according to all applicable laws. Resolution of disputes must be completed within thirty (30) calendar days. After this time, DISA shall remove the provisional status from the background screen grade. This grade shall be considered the final background screen grade.

DISA shall investigate and modify the provisional background screen report and grade if new information is discovered that warrants the change. If the initial background screen grade was accurate, DISA shall remove the provisional status from the background screen grade. If the final background screen grade is different than the initial provisional background screen grade, DISA shall revise the initial provisional background screen grade. If modified, DISA shall also send a copy of the background screen report and resulting grade to the Contractor Employee and the Contractor Employer who ordered the background screen along with another Pre-Adverse Action letter.

If the final background screen grade is between “01” and “07” (any grade other than "00"), the Consortium TPA shall issue an Adverse Action Letter to the Contractor Employee indicating the background screen grade may restrict their eligibility for access to some participating Owner facilities.

All Pre-Adverse and Adverse Actions and any communications with the Contractor Employee are the responsibility of the Contractor Employer and DISA. The Contractor Employer and DISA shall further assume full responsibility for complying with all local, state and federal laws related to Pre-Adverse and Adverse Action requirements on behalf of itself and any obligations participating Owner facilities may have.